Chapter 2

Filing the Notice of Appeal

Now that you have determined that you *can* file an appeal, the first thing you need to do is file and serve your Notice of Appeal. Step 4 will tell you how to do this, how much it will cost and what happens if you do not pay on time.

Step 4. How does the appellant prepare the Notice of Appeal?

Look at the instructions and form on pages 2-3 and 2-4. In the upper left-hand corner of the form is the place where the name and address of the attorney or the self-represented appellant goes. Below that is the name of the case (the **caption**) as it appeared on the papers in the superior court. The case number (item 7) is the superior court number. The appellant fills in the judgment and/or order that is being appealed and the date of the entry of judgment or order. The appellant, the attorney for the appellant, or the self-represented appellant bringing the appeal signs the *Notice of Appeal*. Once appellant has completed the *Notice of Appeal*, a copy must be "served on" all parties and the original must be filed with the superior court appeals section.

What is service? Service of documents means mailing or hand-delivering. You let the parties know what you are doing by having copies of the documents you plan to file with the court—in this case, the *Notice of Appeal*—mailed or hand-delivered to them. Copies of all of the documents you prepare should be served on all counsel and self-represented parties, and the original filed with the court. A document may be hand-delivered or mailed only by someone who is over the age of 18 and is not a party to the lawsuit. For example, if you are self-represented in an appeal, you cannot hand-deliver or mail your *Notice of Appeal* to the parties. Someone else, an adult who is not a party, must do it for you.

How do you know the document was served? A *Proof of Service* which must be filled out and attached to each document you file can be found on pages 2-5 through 2-7. Depending on whether you are having service done by mail or in person, the person doing the service needs to fill out the *Proof of Service* properly. The originals of the *Notice of Appeal* and *Proof of Service* are filed with the **appeals section** of the superior court. (CRC rule 1(a).) For the locations of superior courts in San Diego and Imperial Counties, see page 2-16.

Is there a charge for filing an appeal? The appellant must include a \$655 filing fee by check, money order, or cash along with the *Notice of Appeal* unless the appellant has a fee waiver. A check or money order should be made payable to "Clerk, Court of Appeal." A second check or money order for \$100, made payable

to "Clerk of the Superior Court," must also be included and is a deposit for the clerk's transcript. This second check need not be included if you, as appellant, plan to prepare an appendix under rule 5.1, described later.

Will the court waive the filing fee? If you, as appellant, have a fee waiver from the superior court for the case number(s) you are appealing, include a copy of the fee waiver with the *Notice of Appeal*. If you, as appellant, did not get a fee waiver in superior court, you may apply to the Court of Appeal for a waiver under rule 985. (CRC rule 1(b).) A fee waiver allows persons below a certain income level to file their appeals without paying the filing fee. (See pages 2-8 through 2-15 for information on fee waivers.)

What happens if fees are not paid? If these fees are not paid or waived, or if the appellant's check bounces, the court gives the appellant notice that he or she has 15 days to pay the fee or have it waived before the appeal is dismissed. If the matter is dismissed, the court may, upon a motion, reinstate the appeal if there is a good reason why the payment is late. (CRC rule (1)(c).) If the court grants the motion to reinstate the appeal, it gives a specific time for payment(s) to be made.

NOTICE OF APPEAL - INSTRUCTIONS

In order to appeal you must be "aggrieved". To be "aggrieved" the lower court or administrative agency must have entered a judgment or order that affects your legal rights or costs you money. Usually you must have been a party in the case in the lower court. You may not appeal on behalf of a spouse, child or other relative (unless you are a legally appointed guardian), or a friend. The notice of appeal is filed in the superior court and should be accompanied by a check, money order or cash of \$655.00 which is the filing fee. Checks or money orders should be made payable to "Clerk, Court of Appeal". A second check or money order for \$100.00 made payable to "Clerk of the Superior Court" is a deposit for the clerk's transcript. This second check need not be included if you, as appellant, plan to prepare an appendix under rule 5.1. If you do not have the money for the filing fee, an application for waiver of court fees and costs must accompany the notice of appeal. (See pages 2-8 through 2-12, for Application for Waiver of Court Fees and Costs.)

Filling out the Notice of Appeal form:

- (1) Your name.
- (2) Your mailing address.
- (3) Your city, state and zip code.
- (4) Your telephone number where you can be reached during the day.
- (5) The plaintiff's name as it appears on your superior court caption.
- (6) The defendant's name as it appears on your superior court caption.
- (7) The superior court number from your superior court case.
- (8) Your name.
- (9) Describe the judgment or order you are appealing.
- (10) The date of the superior court file stamp on the judgment or order you are appealing.

(11) Current date.

(12) Your signature.

(13) Type or legibly print your name.

File: Original plus fees in Superior Court

Bring an extra copy to be file-stamped

for your file.

Serve: All counsel

All self-represented parties

(1)(2)(3)(4)			
SUPERIOF	R COURT OF THE S	STATE OF CALIFORNIA OF SAN DIEGO	
(5) Plaintiff, v.	,	Superior Court No. (7) NOTICE OF APPEAL	
(6) Defendant.	,		
NOTICE IS HEREBY GIVEN (9) (10)	I that (8)		, appeals from entered on
DATED: (11)	(12)		

(13)

Signature

Type or Print Name

PROOF OF SERVICE INSTRUCTIONS FOR SUPERIOR COURT OR COURT OF APPEAL

Each document you prepare must be served on all counsel and self-represented parties in your case. The document may be served by mail or hand-delivery by someone who is over the age of 18 and not a party to the appeal. If the document is a brief, you must serve one copy on the Superior Court and five copies on the California Supreme Court as well as all counsel and self-represented parties.

How to serve a document:

Make a copy of your document for each party you wish to serve. You may use the attached Proof of Service form and type or write legibly the information requested. The original Proof of Service must be attached to the document you are filing with the court and a copy of the Proof of Service must be attached to each copy of the document you serve on the parties.

Filling out the Proof of Service form:

- (1) The case name in Superior Court or Court of Appeal.
- (2) The Superior Court case number or the Court of Appeal case number.
- (3) Check whether service is by mail or in person.
- (4) Do nothing here, just read to make sure you are over 18 years of age and not a party to the action.
- (5) The home or business address of the person serving the documents.
- (6) Insert the name of the document being served.
- (7) Fill out if the service is by mail. (If not by mail then fill out number (8) below.)
 - a. Check if serving by mail. If service is in person go to number (8).
 - (1)(a) Check if deposited in U.S. mail in sealed envelope with proper prepaid postage. OR
 - (1)(b) Check if placed in location following normal business practices with which you are familiar. On the same day it was deposited in U.S. mail in a sealed envelope with proper prepaid postage.
 - (2)(a) State the full names of all the parties or their attorneys to whom documents are mailed.

- (2)(b) State the full address of all the parties or their attorneys to whom the documents were mailed.
- (2)(c) Insert date of mailing.
- (2)(d) Insert city and state where envelope was mailed.
- (8) Fill out if the service is in person. (If not in person then fill out number (7) above.)
 - b. If service is personal, check here.
 - (1) Name of the person you gave the documents to.
 - (2) Address where the person was served.
 - (3) Date the documents were delivered.
 - (4) Time the documents were delivered.
- (9) Today's date.
- (10) Print or type the name of the person actually serving the documents.
- (11) The signature of the person who actually served the documents that the foregoing is true and correct.

An original Proof of Service must be attached to every original document filed with the court. A copy of the Proof of Service must be attached to every document served on all counsel and self-represented parties.

	MIE			CASE NUMBER:	
	(1)			(2)	
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		PROOF	OF SERVICE		
	(3)		Personal	Service	
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	(b) Address on envelope:				
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l declare i	(1) Name of person served: (2) Address where delivered: (3) Date delivered: (4) Time delivered: under pensity of perjury under the laws of			is true and correct.	
	(1) Name of person served: (2) Address where delivered: (3) Date delivered: (4) Time delivered:			is true and correct.	
l declare i	(1) Name of person served: (2) Address where delivered: (3) Date delivered: (4) Time delivered: under pensity of perjury under the laws of			is true and correct.	

APPLICATION FOR WAIVER OF COURT FEES AND COSTS - INSTRUCTIONS

When you file your notice of appeal, there is a filing fee of \$655.00 which is due at the time of filing. A deposit of \$100.00 needs to be paid to the Superior Court if you want it to prepare a clerk's transcript for you. If you feel you cannot afford these fees, you may fill out an Application for Waiver of Court Fees and Costs. Generally, you would file the application in the Superior Court when you file your notice of appeal. If you did not file the application in Superior Court you may file it in the Court of Appeal.

Filling out the Application for Waiver of Court Fees and Costs form:

- (1) Your name, mailing address, city, state, zip code and telephone number where you can be reached during the day.
- (2) The name of the court in which you are filing the application. Addresses for the downtown, North County and Imperial County Superior Courts and the Court of Appeal are found on page 2-16.
- (3) The plaintiff's name as it appears on your Superior Court caption.
- (4) The defendant's name as it appears on your Superior Court caption.
- (5) The Superior Court number from your Superior Court case. If you happen to have a Court of Appeal number, also put it here.
- (6) If you can't pay any of the court fees or costs, check box "a". If you can pay part of the court fees or costs, check box "b" and then write down what you can pay.
- (7) Your street address, city, state, zip code and telephone number where you can be reached during the day.
- (8) Write your occupation, employer and employer's address. If you do not have a job, write "unemployed". If you have a spouse and your spouse has a job, write your spouse's occupation, employer and employer's address. If your spouse does not have a job, write "unemployed".
- (9) If you are receiving financial assistance check box 4, then check the box or boxes next to the type of assistance you are receiving.
- (10) If you checked box 4 you have to fill out one of three boxes. Check only one box. If you check box "a" you must write your Medi-Cal number. If you check box "b" you must write your Social Security number and your birth date. If you check box "c" you need to attach verification documents which are listed on the Information Sheet on Waiver of Court Fees and Costs. After you have checked one of these boxes, you are done. Go to the bottom of the form and date and sign it, you do not need to fill out anything else.

- (11) Check this box if your gross monthly income is less than the amount shown on the Information Sheet on Waiver of Court Fees and Costs. If you check this box, fill out page 2-12 and date and sign the bottom of page 2-11.
- (12) Check this box if your income is not enough to pay for the common necessaries of life for yourself and your family and still pay court fees and costs. If you check this box, you have to complete the entire back side of the form, then date and sign the bottom.
- (13) Current date.
- (14) Type or print your name.
- (15) Your signature.

INFORMATION SHEET ON WAIVER OF COURT FEES AND COSTS

(California Rules of Court, rule 985)

If you have been sued or if you wish to sue someone, and if you cannot afford to pay court fees and costs, you may not have to pay them it.

- 1. You are receiving financial assistance under one or more of the following programs:
 - SSI and SSP (Supplemental Security Income and State Supplemental Payments Programs)
 - CalWDRKs (California Work Opportunity and Responsibility to Kids Act, implementing TANF, Temporary Assistance for Neetly Families, formerly AFDC, Aid to Families with Dependent Children Program)
 - · The Food Stamp Program
 - County Relief, General Relief (G.R.), or General Assistance (G.A.)

If you are claiming eligibility for a waiver of court fees and costs because you receive financial assistance under one or more of those programs, and you did not provide your Medi-Cal number or your social security number and birthdain, you must produce documentation confirming benefits from a public assistance agency or one of the following documents, unless you are a defendent in an unlawful detainer action:

PROGRAM	VERIFICATION Medi-Cal Card or Notice of Planned Action or SSI Computer-Generated Printout or Bank Statement Showing SSI Deposit or "Passport to Services" Medi-Cal Card or Notice of Action or Income and Eligibility Verification Form or Monthly Reporting Form or Electronic Benefit Transfer Card or "Passport to Services"		
SSI/SSP			
CafWOFKs/TANF (formerly known as AFDC)			
Food Stamp Program	Notice of Action or Food Stamp ID Card or "Passport to Services"		
General Reliet/General Assistance	Notice of Action or Copy of Check Stub or County Voucher		

- OR -

2. Your total gross monthly household income is equal to or less than the following amounts:

NUMBER IN FAMILY	FAMILY INCOME		
1	\$ 935.42		
2	1,262.50		
3	1,589.58		
4	1,916.67		
5	2,243.75		

NUMBER IN FAMILY	INCOME		
6	\$ 2,570.83		
7	2,897.92		
9	3,225.00		
Each additional	327,08		

- OR -

 Your income is not enough to pay for the common necessaries of life for yourself and the people you support and also pay court fees and costs.

To apply, fill out the Application for Waiver of Court Fees and Costs (Form 382(a)(17)) available from the clerk's office. If you claim no income, you may be required to file a declaration under penalty of perjury. Prison and juli inmates may be required to pay up to the full amount of the filing fee.

If you have any questions and cannot afford an attorney, you may wish to consult the legal aid office, legal services office, or lawyer referral service in your county (listed in the Yellow Pages under "Attorneys").

referral service in your county (listed in the Yellow Pages under "Attorneys").
If you are asking for review of the decision of an administrative body under Code of Civil Procedure section 1094.5 (administrative mandate), you may ask for a transcript of the administrative proceedings at the expense of the administrative body.

Page 1 of 1

Judged for Reputatry Cris Judged Council of Cathoria 800(4): 1(4) (Res. Murch 21, 2000) INFORMATION SHEET ON WAIVER OF COURT FEES AND COSTS (In Forma Pauperia) Gavernment Code, § 65511.3; Gal. Rules of Gourt, rule 685

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PLAINTIFF/ PETITIONER: (3) DEFENDANTI RESPONDENT: (4)	
PLAINTIFF/ PETITIONER: (3) DEFENDANTI RESPONDENT: (4)	
DEFENDANTI RESPONDENT: (4)	
APPLICATION FOR	
WAIVER OF COURT FEES AND COSTS	(5)
I request a court order so that I do not have to pay court fees and costs.	
i. a. I am not able to pay any of the court fees and costs. b. I am able to pay only the following court fees and costs (specify):	
 My current street or mailing address is (if applicable, include city or town, apartment no., if an 	ny, and zip code):
 3) 3, a. My occupation, employer, and employer's address are (specify): 	
 b. My spouse's occupation, employer, and employer's address are (specify): 	
9) 4. [] I am receiving financial assistance under one or more of the following programs:	-
 a. SSI and SSP: Supplemental Security Income and State Supplemental Payme 	
CafWORKs: California Work Opportunity and Responsibility to Kids Act, imple for Needy Families (formerly AFDC)	imenting TANF, Temporary Assistance
c. Food Stamps: The Food Stamp Program	
 County Relief, General Relief (G.R.), or General Assistance (G.A.) If you checked box 4, you must check and complete one of the three boxes below, unless 	
 If you checked box 4, you must check and complete one of the three boxes below, unless detainer action. Do not check more than one box. 	you are a derendant in an unitamite
z. (Optional) My Medi-Cal number is (specify):	
Optional) My social security number is (specify):	4
[Federal law does not require that you give your social security number.	
social security number, you must check box c and attach documents to c. I am attaching documents to verify receipt of the benefits checked in item 4, if [See Form 982(a)(17)(A) Information Sheet on Walver of Court Fees and	verify the benefits checked in Item 4, requested by the court.
office, for a list of acceptable documents.]	Source and the state of
[M you checked box 4 above, skip items 6 and 7, and sign at the bottom of this side.]	
 My total gross monthly household income is less than the amount shown on the inforence and Costs available from the clerk's office. 	
[N you checked box 6 above, skip item 7, complete items 8, 9a, 9d, 9f, and 9g on the back of this side.]	
2) 7. My income is not enough to pay for the common necessaries of life for me and the praiso pay court fees and costs. [If you check this box, you must complete the back.	
WARNING: You must immediately tell the court if you become able to pay court fees or be ordered to appear in court and answer questions about your ability to pay court fees	or costs.
I declare under penalty of perjury under the laws of the State of California that the information or attachments are true and correct. 3) Date:	n both sides of this form and all
(14) (15)	
	(BERATURE)
Form Adapted for Mendatory Use APPLICATION FOR WAIVER OF COURT FEES AND C	

PLAINTIFFIPETITIONER: DEPENDANT/RESPONDENT:			CASENIA	MCR:	
	FINANCIAL INF	ORMATION		DATE-COLUMN	ACRESCOVED TO
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b. My payroll deductions are (specify		420		- :	
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My TOTAL payroll deduction amount is: \$ c. My monthly take-home pay is		(3)	ensonal property	Secondari Ricord	turn from estache
		e. Other p	etc. (flat separati	alut-	ture, ture, stocks
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d. Other money I get each month is (specify see amount; include spouse) support, child supp.	ort name				
tal support, support from outside the home, a		11 My month	ly expenses not	nienache Enterd	in Home Ob about
ships, retirement or pensions, social security,		are the foll		arready sisted i	u traur an soon
unemployment, military basic allowance for g	uarfers		house payment	E majotamaria	\$
(BAQ), veterans payments, dividends, interes		a. Fount or	nd household su	o mamenance	:
trust income, annuities, net business income,		b. Food a	na nousenoia su	ppees	
income, reimbursement of job-related expens gambling or lottery winnings):	es, and net	d. Clarities	and telephone	interestation.	
		d. Cionin			
(1)8		e. Laurian	y and cleaning		-
(2) 5 (3) 5 (4) 8		T, Medical	and dental pays	menta	-
(3)		g. Insuran	ice (life, health, a	iccident, etc.)	1
			child care		
The TOTAL amount of other money is: \$			pousal support (*
(N more space is needed, attach page isbeled Attachment 9d.)			ortation and auto		
		(Insuns:	nce, gas, repair)	*******	
8. MY TOTAL MONTHLY INCOME IS		K. Installi	nent payments (s	pecity purpose	and amount):
(c. plus d.):		(1)	TAL emount of r		-
f. Number of persons living in my home:	TOTAL CO.	(2)		- *	-
Below list all the persons living in your home, your spouse, who depend in whole or its part		(3)	OF A COLUMN TO A C		-
support, or on whom you depend in whole or		The TO	TAL amount of a	monthly	100
support:		instalm	ent payments is:	********	
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The TOTAL amount of other money is: \$		(5)			-
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be ordered to appear in court and answer questions about your ability to pay court fees or costs during the ordered to appear in court and answer questions about your ability to pay court fees or costs.

**EDICITY FOR January 1, 2001 APPLICATION FOR WAIVER OF COURT FEES AND COSTS (In Forma Pauperis)

ORDER ON APPLICATION FOR WAIVER OF COURT FEES AND COSTS - INSTRUCTIONS

This form is the order from the court either granting or denying your request to waive fees. The court fills out most of this form. The only part you will fill out are the nine items listed below. [Note: The Court of Appeal can only waive the Court of Appeal filing fee of \$655.00.]

Filling out the Order on Application for Waiver of Court Fees and Costs form:

- (1) Your name, street address, city, state, zip code and telephone number where you can be reached during the day.
- (2) Name of court where you are applying for waiver.
- (3) The plaintiff's name as it appears on your Superior Court caption.
- (4) The defendant's name as it appears on your Superior Court caption.
- (5) The Superior Court number from your Superior Court case. If you happen to have a Court of Appeal number, also put it here.
- (6) Date Application for Waiver of Court Fees and Costs was filed with the court.
- (7) Check this box and indicate whether a previous order regarding a fee waiver was issued. If yes, indicate the date it was sent.
- (8) Name of person applying for waiver.
- (9) Check box 3. If you are asking that the reporter's transcript fees be waived, check box (9) "Other" and write in "Reporter's transcript fees". [Please note: Number (7) "Reporter's Fees (valid for 60 days)" covers only that portion of the fees for taking the notes in the courtroom. This will not get you a waiver of the reporter's transcript fees.]

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а. 🗀 пт		the application is gr		in whole		omplete item 4	
a.	No payment	s. Payment of all th	e fees and costs	s tisted in Califo	mia Rules of	Court, rule 98:	5(i), is waived.
b.	The applicar	nt shall pay all the	fees and costs if	isted in Californ	ia Rules of C	court, rule 985()), EXCEPT the following
		g papers.		(8)	7	marshal tees.	
		The state of the s		(Z)		fees" (valid for	60 days)
		tification and copying					
		ing process and ce	rtification,	(8)			3ov. Code, § 68070.1()
	(4) Trai	nsmittal of papers.		(9)	Other (spe	icily code secti	an):
	(5 Co.	urt-appointed interpr	neter.				
	⁶ Reporter's fe	es are per diem pures	ant to Code Civ. P	Proc., 55 269, 274	ic, and Gov. C	ode, 55 89947, 6	10948, and 72195.
		ent. The applicant s					
	(1) Pay (spe		percent. (2)	Pay: \$			re until the balance is p
						The state of the s	e the applicant to appe
							not more than once in
	lour-month period.	The applicar	nt is ordered to a	ppear in this co	curt as follow	s for review of	his or her financial stat.
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PLANTIFF, PETITIONER (N			CASE NUMBER	
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MIQUITE Plan January 1, 2003	ORDER OF	APPLICATION FOR W	AIVER OF	Page 2 o
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COURTS & PUBLIC LAW LIBRARIES

COURTS

Superior Courts of San Diego and Imperial Counties

For filing all notices of appeal and requests for preparing the record accompanied by proofs of service use the San Diego County Superior Court except for cases in North County using the Vista Courthouse and cases arising out of Imperial County. The clerk of the Superior Court is located at:

> Clerk, Appeals Section San Diego County Superior Court Central Division, P.O. Box 120128 220 West Broadway, Room 3005 San Diego, CA 92112-0128

The address for the North County Division is:
Clerk, Appeals Section
San Diego County Superior Court
North County Division
325 South Melrose Drive, Suite 1000
Vista, CA 92081-6643

The address for the Imperial County Superior Court is: Imperial County Courthouse Appellate Division 939 West Main Street El Centro, CA 92243-2861

Telephone at Central is (619) 531-3144; in North County it is (760) 806-6170; in Imperial County it is (760) 482-4225.

California Court of Appeal

For filing motions and briefs in the Court of Appeal, the address is:

Clerk, Court of Appeal Fourth District, Division One 750 "B" Street, Suite 300 San Diego, CA 92101

Main telephone is (619) 645-2760.

California Supreme Court

For filing copies of briefs and petitions for review in the California Supreme Court the address is:

California Supreme Court
Second Floor
OR
350 McAllister Street
300 South Spring Street
Los Angeles, CA 90013
California Supreme Court
350 McAllister Street
San Francisco, CA 94102
(415) 865-7000

(213) 830-7570

PUBLIC LAW LIBRARIES

The San Diego County Public Law Library has the following locations.

1105 Front Street Hours: Mon. 8AM-9PM San Diego, CA 92101 Tues-Thurs. 8AM-6PM 619-531-3900 Fri. 8 AM-5PM

Sat. 10 AM - 5 PM

Sun. Closed

250 E. Main Street Hours: Mon.-Fri. 8 AM-5PM

El Cajon, CA 92020 Sat.-Sun. Closed

(619) 441-4451

500 Third Avenue Hours: Mon.-Fri. 9AM-4PM

Chula Vista, CA 91910 Sat.-Sun. Closed

(619) 691-4929

325 S. Melrose Drive Hours: Mon-Thurs. 8AM-6PM

Suite 300 Fri. 8 AM-5PM Vista, CA 92081 Sat.-Sun. Closed

(760) 940-4386

The Imperial County Public Law Library has the following location.

Imperial County Courthouse Hours: Mon.-Fri. 8AM-Noon

939 West Main Street Closed Noon-1PM

El Centro, CA 92243 1 PM-5PM

760-482-4374 Sat.-Sun. Closed